

Sr. No.

Client Code :



# INANI SECURITIES LTD.™

Relationships Beyond Investments

## MEMBERS

**BOMBAY STOCK EXCHANGE LIMITED (CASH)  
NATIONAL STOCK EXCHANGE (INDIA) LTD.**  
(Cash, F&O and Currency Derivatives)



## DEPOSITORY

**CENRTAL DEPOSITORY SERVICES ( INDIA) LTD. (CDSL)**



## CLIENT REGISTRATION FORM

INDIVIDUAL



Name : \_\_\_\_\_

Ref./ Introduced by : \_\_\_\_\_

## ACKNOWLEDGEMENT

To,  
The Compliance Officer  
INANI SECURITIES LIMITED.,  
G-15, Raghava Ratna Towers  
Chirag Ali Lane, Abids  
Hyderabad- 500 001 .

Dear Sir/Madam,

With reference to my/our request to register with you for dealing in Cash/Derivatives/Currency Derivatives segment, and/or to open a Demat account, I/we hereby declare and confirm as under.

1. I/We have received Welcome Letter from you and have noted following :  
Unique Client Code: ..... and BOID: .....
2. I/We confirm that the particulars mentioned in Welcome Letter are correct and same as filled by me/us in the Account Opening Form.
3. I/We shall check my/our email id as provided to you, on regular basis. I/We accept that all communications like bills/contract notes/statements/margin statements etc. sent to me/us on this email-id shall be legal and binding upon me/us. For non-receipt of any of these documents I/We shall intimate you in writing either through e-mail or letter.
4. My/Our Mobile number provided to you for the purpose of SMS alert for trade confirmation, DP transaction, Research messages and any other alerts shall remain active.
5. I/We confirm that I/we am/are aware of risks involved with regard to dealing in the stock market.
6. I/We shall intimate you in writing any change in the email-id, Mobile No., address, bank details or any other personal details.
7. I/We have received, copies of the following relevant documents and I/we have read and understood them:
  - a. Account Opening Documents.
    - Part II - Trading Account related Details.
    - Additional KYC form for opening a demat account.
    - Part I – KRA KYC.
  - b. Option form for issue of DIS booklet
  - c. Tariff sheet for Trading and DP services
  - d. Policies & Procedures
  - e. Rights and Obligations
  - f. Risk Disclosure Document
  - g. Guidance note
  - h. Non-mandatory Documents
  - i. Rights and Obligations of Beneficial Owner and Depository Participant.

Thanking you.

Signature : .....

Name : .....

Centre Code : .....

Centre Name : .....

Please tear here

## INVESTOR DOS & DON'TS

### Dear Customer,

Welcome to Inani Securities Limited. We thank you for giving us the opportunity to serve you. It shall be our endeavor to provide you truly satisfying service and look forward to a long and fruitful business relationship. At the same time we request you to please go through the following instructions for mutual benefit and healthy relationship. For any further clarification please mail us at [info@inanisec.in](mailto:info@inanisec.in)

#### 1. Personal Details:

- You shall be receiving Welcome Letter from us soon after your registration with us. Please note down the code/s allotted to you for dealing through us.
- Verify all your personal details mentioned in the letter. If there are any discrepancies in any of these details bring to our notice.
- In future if there is any change in personal details please intimate us in writing immediately with necessary proofs.

#### 2. Investment Alerts:

- All investments carry risk, the degree of which varies according to the investment strategy adopted.
- Adopt trading/investment strategies based on your sound reasoning after understanding the associated risks and rewards. Also be aware of the risk associated with your positions and margin calls on them.
- Access the websites of Companies and Regulators to assess the risk-return profile of the investment as well as the liquidity and safety aspects.
- Keep track of relevant Government Policies from time to time.
- Avoid illiquid shares or penny stocks or Z or T2T category stock.
- Do not fall prey to market rumors.
- Do not go by any explicit/implicit promise made by analyst/advisors/experts/ market intermediary.
- Do not go by the publicly information/reports/predictions made in various print and electronic forms without verification. Also do not believe in any promises made about "Assured Returns" by ISL employees/Sub-brokers/Authorised Persons /Remisier.

#### 3. Trading:

- Go through all Rules, Bye-Laws, Regulations, Circulars & Directives issued by respective Exchanges/Regulatory Bodies.
- Give precise and clear instruction while placing, modifying or cancelling orders preferably in writing.
- Do not execute "OFF MARKET TRADES". All trades should be executed through the stock exchange/s only.
- Do not buy/sell shares of other family members or any other entity in your account.
- Do not deal with unregistered intermediaries even if their charges are lower and/or margins are lesser.
- Do not match/synchronize trades with any other person. Do not indulge in any trading activity which results in disturbance of the market equilibrium in any manner including manipulation of price.
- Avoid placing order in the last minute of the market as it may not get executed due to technical problems.

#### 4. E-Mail:

- Check your email account mentioned in the Account Opening form regularly as all communications like bills /contract notes/statements etc. shall be sent to you on this email-id and shall be legal and binding upon you.

#### 5. Internet Trading:

- While trading through internet maintain secrecy of your password since you will be solely responsible for all transactions effected from its usage.
- Change your password every fortnight.

#### 6. Confirmation of Trades:

- Ensure that you receive digital contract notes through E-mail for trades done by you on the same day.
- Verify all details in contract notes immediately on receipt. If you do not receive the same or find any discrepancy bring the same to our notice. Cross check your trades with details available on our website [www.inanisec.in](http://www.inanisec.in)
- Insist on periodical statement of accounts for your scrutiny.
- Do not accept unsigned/duplicate contract note/confirmation memo.

#### 7. Delivery Instruction Slips (DIS):

- Keep DIS book issued by DP in safe custody.
- Don't leave your BLANK or SIGNED DIS book in the hands of any employee of DP/ISL/sub-broker/Authorised Person/Remisier.

#### 8. Payments/Receipts of Funds and Securities:

- Deliver the securities in case of sale or pay money in case of purchase before the pay-in day.
- Make all payments of funds and delivery of securities from own bank/DP account respectively.
- Do not issue cheques or deliver securities from family members'/ any other entity's accounts.
- Issue cheques in favour of "INANI SECURITIES LTD." for DP charges and payments of capital/derivative segment.
- Do not issue cheques or deliver securities in favour of any employee of the broker/branch/sub broker/ Authorized Person or any other person in respect of transaction.
- Do not make/receive payment in cash as it is not permissible.

#### 9. Margins (if applicable):

- Pay mark to market margins on your positions on daily basis to us within the prescribed time.
- Please maintain sufficient margins In case of shortfall in margin due to delay in payments by you, ISL will square up outstanding position. Also the penalty imposed by the Exchange will be debited to your account.

#### 10. Auction:

- Before selling the securities, ensure the balance of the same in your account in order to avoid auction.
- In case of short delivery of securities by you, the auction debit (penalty) decided as per the auction settlement mechanism of exchange will be passed on to your account.

**Member**

NSE: Membership ID No.08571 | SEBI Registration No. INB230857131 (Cash)/ INF230857131 (F&O) | INE230857131 (Currency) | BSE: Membership ID No. 941 | SEBI Registration No. INB010857135 (Cash) | MCX-SX Membership ID No 49100 | SEBI Registration No INE260857131

Clearing Member for F&O and Currency Segment :- IL&FS Securities Services Ltd. (IL&FS)  
 NSE: (F&O): (SEBI Registration No. INF231133630) NSE (CDS) : (SEBI Registration No. INE231308334)  
 The IL&FS Financial center, Bandra – Kurla Complex, Bandra (e), Mumbai – 400051  
 Tel :- 02226533333/3232 Fax:-02226563038, [www.ilfsindia.com](http://www.ilfsindia.com)

Compliance officer: Mr.Lakshmikanth Inani | Phone: +91 040 40209978 | Email: [compliance@inanisec.in](mailto:compliance@inanisec.in)  
 CEO: Mr Lakshmikanth Inani | Phone: +91 040 23201279 | Email: [lk@inanisec.in](mailto:lk@inanisec.in)

For any grievance/dispute please contact INANI SECURITIES LTD at the above address or call at the number mentioned

**Depository Participant**

Central Depository Services (I) Ltd. DP ID No. 12036200 DP SEBI Reg. No. IN-DP-CDSL-269-2004  
 Phone: +91 9866343660 Fax: (040) 23201279 Email: [info@inanisec.in](mailto:info@inanisec.in)  
 For any grievance/dispute please contact Compliance Officer Mr. Srigopal Rathi  
 Phone: 022 9866343660 Email: [demat@inanisec.in](mailto:demat@inanisec.in) or [compliance@inanisec.in](mailto:compliance@inanisec.in)  
 In case not satisfied with the response, please contact CDSL at:  
 Phone: 1800-200-5533 Email: [complaints@cdslindia.com](mailto:complaints@cdslindia.com)

**INDEX OF DOCUMENTS**

S. No.	Name of the Document	Brief Significance of the Document	Page No
<b>(A) MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1	Account Opening Form	<b>Part I</b> - KYC form: Document captures the basic information about the Applicant/Sole/First Holder, Second Holder, Third Holder & Guardian	2
		<b>Part II</b> - Document captures the additional information about the constituent relevant to trading account	2-4
2	Tariff Sheet	A) Document detailing the amount/Rate of Brokerage charges	4
		B) Document detailing the other charges levied on the client for trading on the stock exchange(s)	
<b>(B) - VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER</b>			
3	Voluntary documents as provided by Inani Securities Ltd.		4
4	Power of Attorney	Transfer of Shares from Client BO Account to Members Pool's/Margin Account	
<b>(C) MANDATORY ADDITIONAL DOCUMENTS FOR OPENING DEMAT ACCOUNT</b>			
5	Tariff Sheet	Document detailing the charges payable by Beneficiary Owner	5
6	Option Form	Option form for issue of DIS booklet	5
7	Additional KYC form for opening a Demat account	Document captures the additional information about the constituent relevant to Demat account	6-8
8	Declaration	Document for declaration under FEMA Regulations & information about change in residence address by NRI clients	8
<b>(D) OTHER MANDATORY DOCUMENTS (Provided to clients as a separate booklet)</b>			
9	Policies and Procedures	Document describing significant Policies and Procedures of Inani Securities Limited	
10	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
11	Risk Disclosure Document	Document detailing risks associated with dealing in the securities market.	
12	Guidance note	Document detailing dos and don'ts for trading on exchange, for the education of the investors.	
13	Rights and Obligations of Beneficial Owner and DP as prescribed by SEBI and Depositories Agreement		

**NOTE:** ISL hereby states and informs that it undertakes Proprietary trading in addition to client based trading.

Know Your Client (KYC)

Application Form (For Individuals Only)

Application No. :

Please fill in ENGLISH and in BLOCK LETTERS CVL

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name [Grid]

Father's/Spouse Name [Grid]

2. Gender [ ] Male [ ] Female [ ] B. Marital Status [ ] Single [ ] Married C. Date of Birth [Grid]

3. Nationality [ ] Indian [ ] Other [Grid]

4. Status Please tick ( ) Resident Individual [ ] Non Resident [ ] Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN [Grid] Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any : [Grid]

6. Proof of Identity submitted for PAN exempt cases Please Tick ( )

[ ] UID (Aadhaar) [ ] Passport [ ] Voter ID [ ] Driving Licence [ ] Others (Please see guidelines "D" Overleaf )

PHOTOGRAPH
Please affix the recent pass port size Photograph and sign across it.

B. Address Details (Please see guidelines overleaf)

1. Address for Correspondence

[Grid] City/Town/Village [Grid] Pin Code [Grid] State [Grid] Country [Grid]

2. Contact Details

Tel. (off) [Grid] Tel.(Res.) [Grid] Mobile [Grid] Fax [Grid] E-Mail ID [Grid]

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick ( ) against the document attached.

[ ] Passport [ ] Ration Card [ ] Registered Lease/Sale Agreement of Residence [ ] Driving License [ ] voter Identity Card [ ] \*Latest Bank A/c Statement/Passbook [ ] \*Latest Telephone Bill (only Land Line) [ ] \*Latest Electricity Bill [ ] \*Latest Gas Bill [ ] Others(Please specify \_\_\_\_\_)

\*Not more than 3Months old. Validity/Expiry date of proof of address submitted [Grid]

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

[Grid] City/Town/Village [Grid] Pin Code [Grid] State [Grid] Country [Grid]

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick ( ) against the document attached.

[ ] Passport [ ] Ration Card [ ] Registered Lease/Sale Agreement of Residence [ ] Driving License [ ] voter Identity Card [ ] \*Latest Bank A/c Statement/Passbook [ ] \*Latest Telephone Bill (only Land Line) [ ] \*Latest Electricity Bill [ ] \*Latest Gas Bill [ ] Others(Please specify.....)

\*Not more than 3Months old. Validity/Expiry date of proof of address submitted [Grid]

6. Any other information: .....

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/We are aware that I/We may be held liable for it.

Place : [Grid] Date : [Grid]

SIGNATURE OF APPLICANT

[Signature Box]

FOR OFFICE USE ONLY

IPV Done on [Grid]

AMC/Intermediary name OR code

[ ] (Originals Verified) Self Certified Document copies received [ ] (Attested) True copies of documents received Main intermediary

Seal/Stamp of the intermediary should contain Staff Name Designation INANI SECURITIES LTD Signature Date

Seal/Stamp of the intermediary should contain Staff Name Designation INANI SECURITIES LTD Signature Date

## INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self- attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade sub subject to RBI and FEMA guidelines), copy of passport/ PIOCard/ OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Pass part of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI): List of admissible as Proof of Identity.

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
3. Identity card/document with applicant's Photo, issued by any the following Central/State Government and its Departments, /Regulatory Authorities, Public Sector undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C Proof of Address (POA): List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only landline), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook-Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/ Multinational Foreign Banks/Gazetted Office/Notary Public/ Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc, to their members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The Proof of address in the name of the spouse may be accepted.

### D. Exemptions/Clarifications to PAN: (\* Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official Liquidator, Court receiver etc.
2. Investors residing in the State of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes filing tax returns in India
4. SIP of Mutual Funds upto Rs.50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCI, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Please submit the KYC Documents on A4 Size Papers only.

**(A) ACCOUNT OPENING FORM (FOR INDIVIDUAL)**

**Application No.:**

**PART I - IDENTITY DETAILS OF APPLICANT/SOLE/FIRST HOLDER**

- KRA-KYC Not Done - (Please fill enclosed KRA-KYC form)  
 KRA-KYC Done - (Please enclose confirmation letter and do not fill KRA-KYC form, however kindly fill up Modification form in case there is change in details as per KRA.)

**PART II - TRADING ACCOUNT RELATED DETAILS**

Name of Applicant: \_\_\_\_\_ PAN: \_\_\_\_\_ DOB: \_\_\_\_\_

**A. OTHER DETAILS**

Gross Annual Income Details <i>Please Tick (✓)</i>	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lac	<input type="checkbox"/> 10-25 Lac	<input type="checkbox"/> >25Lac
Net worth in Rs. <i>(should not be older than 1 year)</i>					As on date <i>(dd/mm/yyyy)</i> :
Occupation	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please Specify).....				
Please Tick, if applicable	<input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to Politically Exposed Person				
Any other information					

**B. BANK ACCOUNT DETAILS**

Bank Name	Branch address & Pin Code	Bank A/c no.	Account type*	MICR No.	IFSC code

*\*A/c type: Savings/Current/Others - in case of NRI/NRE/NRO*

**C. DEPOSITORY ACCOUNT(S) DETAILS** *(Please attach a copy of Client Master issued by DP if other than Inani Securities Limited)*

Depository Participant Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BOID)
	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL			
	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL			

**D. TRADING PREFERENCES** *(Sign in the relevant boxes where you wish to trade. Strike off the segment not chosen by you. If in future, you want to trade on any new segment/new exchange please give separate authorization letter to Inani Securities Ltd.)*

Exchanges/ Segments	NSE	BSE
Cash	1/11 X	1/11 X
Futures & Options	1/11 X	1/11 X
Currency Derivatives	1/11 X	1/11 X
Interest Rate Derivative	1/11 X	1/11 X

**E. PAST ACTIONS:** Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: .....

**F. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS:**

- If client is dealing through the sub-broker, provide these details:  
Sub-broker's Name: ..... SEBI Registration number: .....  
Registered office address: .....  
Ph: ..... Fax: ..... Website: .....
- Whether dealing with any other stock broker/sub-broker *(if case dealing with multiple stock brokers/sub-brokers, provide details of all)*  
Name of stock broker: ..... Name of Sub-Broker, if any: .....  
Client Code: ..... Exchange: .....  
Details of disputes/dues pending from/to such stock broker/sub-broker: .....

**G. ADDITIONAL DETAILS:**

- Whether you wish to receive Physical contract note or Electronic Contract Note (ECN)? (please specify): .....  
Specify your Email id, if applicable: .....
- Whether you wish to avail of the facility of internet trading/ wireless technology? (please specify): .....
- Number of years of Investment/Trading Experience: .....
- Any other information: .....

**H. INTRODUCER'S DETAILS:**

Name: .....  
 Address: .....  
 Phone no.: ..... Email: .....  
 Status:  Sub-Broker  Remisier  Authorized Person  Existing Client  Employee  Others, (pls specify) .....  
 Associate Centre Code/Client Code/Employee Code: .....  
 Signature of the Introducer: .....

**I. NOMINATION DETAILS:**

I/We wish to nominate  I/We do not wish to nominate  
 Name of the Nominee: ..... Relationship with the Nominee: .....  
 PAN of Nominee: ..... Date of Birth of Nominee: .....  
 Address of the Nominee: .....  
 Phone no. of the Nominee: .....  
 If Nominee is a Minor, details of Guardian:  
 Name of Guardian: .....  
 Address of Guardian: .....  
 Phone no. of Guardian: ..... Signature of Guardian: .....  
**WITNESSES:**  
 Name ..... Name .....  
 Signature ..... Signature .....  
 Address ..... Address .....

**DECLARATION**

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I /We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

..... **2/11X** .....

Place

Date (dd/mm/yyyy)

Signature

**INSTRUCTIONS/CHECK LIST****A. Additional documents in case of trading in Derivatives segments – illustrative list:**

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets	Self declaration with relevant supporting documents

*\*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

- Copy of cancelled cheque leaf/pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
- Demat master or recent holding statement issued by DP bearing name of the client.
- In Person Verification:
  - Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
  - In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy/Consulate General in the country where the client resides may be permitted.



**FOR OFFICE USE ONLY**

UCC Code allotted to the Client:

Activity	Employee's /Sub-Broker's/Authorised Person's				Date
	Name	Registration no.	Designation	Signature	
Documents verified with Originals					
Client Interviewed By					
In-Person Verification done by					

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date: (dd/mm/yyyy)

Seal/Stamp of the Stock broker

**BROKERAGE DETAILS**

Segment	Brokerage Scheme		Trading 1st Leg	Same day square off	Delivery/Any day
Cash		Min			
		%			
Futures		Min			
		%			
Options		/lot			
Currency		Min			
Derivatives		%			

**TARIFF SHEET FOR TRADING ACCOUNT**

Particular	Charges	Particular	Charges
Transaction Charges	As applicable	POA Charges	As applicable
Stamp Duty	As applicable	NOW/IBT usage charges	As applicable
Securities Transaction Tax (STT)	As applicable	Clearing Member (CM) charges	As applicable
Service Tax	As applicable	Any other levies	As applicable
SEBI Fees	As applicable	Delayed Payment charges	18% per annum
Exchange/SEBI penalties	As applicable	Courier charges	Rs.25 /- per packet
Bank charges towards the cheques received unpaid & RTGS/NEFT.	As applicable	Inter-Settlement/Beneficiary to Pool Charges (IS\B2P Charges)	Rs.25/-
Account Opening charges	Free	Duplicate document charges	Rs.10/- per page
KRA Registration Charges (if not registered)	Rs.50	Research advisory charges	As applicable

Tariff charges are subject to change as per Central Govt. /State Govt./SEBI/Exchange/Clearing Member/Stock Broker

**(B) VOLUNTARY DOCUMENTS- RUNNING ACCOUNT AUTHORISATION**

To,  
**INANI SECURITIES LIMITED.**  
 G-15, Raghava Ratna Towers, Chirag Ali Lane, Abids, Hyderabad- 500 001  
 Dear Sir/Madam,

I/We dealing through you as a client, request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused fund/securities towards my/our margin/ pay-in/other future obligation/s at any segment/s of any or all the Exchange/s and to meet margin obligations calculated in the manner specified by the exchanges. This is to facilitate ease of operations and upfront requirement of margin for trade.

I/We agree to settle and/ or request you to settle my fund and securities account (except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt) as below mentioned or such other higher period as allowed by SEBI/Stock exchange from time to time.

Quarterly or  Monthly (If no check box is selected, Settlement will be done QUARTERLY)

I/We further authorize INANI SECURITIES LTD to retain an amount up to Rs 10,000/- (net amount across segment and across stock exchanges) to address the administrative/operational difficulties in settling my/our account.

I/We further agree and confirm that the powers and authorities conferred by this Authority shall be in force/ continuous and the same can be revoked by me/us any time by giving adequate intimation in writing to INANI SECURITIES LIMITED.

Thank you.

Yours Truly,

Signature of Applicant 3/11X .....

Date: .....

**(C) MANDATORY ADDITIONAL DOCUMENTS FOR OPENING DEMAT ACCOUNT**

**TARIFF SHEET FOR DEMAT ACCOUNT**

Particular	Charges
Account Maintenance Charges	Rs.250/- (per annum) for individual's account, pl. ask for others schemes
Transaction Charges (Sale) (On / Off Market)	0.03% (On value of each transaction subject to Min Rs.10 max of Rs. 25/-)
Instruction Failure	Rs.15
Dematerialisation Charges	Rs.2/- per Certificate + Rs.25/- or courier charges whichever is higher
Demat Rejection Charges	Rs.25/or courier charges whichever is higher
Rematerialisation Charges	Rs.15/- for every 100 Security or part thereof + Actual Courier Charges
Pledge/Un-pledge/Revocation Charges	Rs.50/- or 0.02% of value per transaction (whichever is higher)

- SERVICE TAX WILL BE CHARGED ADDITIONALLY AS APPLICABLE ON GROSS AMOUNT.
- Basic Services Demat Account (BSDA) facility is also available. Please apply declaration, format available on our website [www.inanisecl.in](http://www.inanisecl.in). Details regarding eligibility criteria, charge slab, terms and conditions also given on the website.
- Actual cost of Stamp Paper/Franching charges shall be collected from clients on upfront basis at the time of A/c opening.
- All charges to be collected upfront or client has to keep sufficient credit balance with INANI SECURITIES LIMITED

**OPTION FORM FOR ISSUE OF DIS BOOKLET**

Date (dd/mm/yyyy):

DP ID	1	2	0	3	6	2	0	0	Client ID										
	First/Sole Holder			Second Joint Holder			Third Joint Holder												
Name																			

To,  
The Compliance Officer  
INANI SECURITIES LTD.,  
G-15, Raghava Ratna Towers, Chirag Ali Lane, Abids,  
Hyderabad- 500 001 .

Dear Sir/Madam,  
I/We hereby state that: [Select one of the options given below]

**OPTION 1:** I/ We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though I/we have issued a Power of Attorney (POA)/executed PMS agreement in favour of/with INANI SECURITIES LIMITED. for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member/by PMS manager.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures 4/11	X		

**OR**

**OPTION 2:** I/We do not require the Delivery Instruction Slip (DIS) for the time being, since I/We have issued a POA/executed PMS agreement in favour of/with INANI SECURITIES LIMITED for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member/by PMS manager. However, the Delivery Instruction Slip(DIS) booklet should be issued to me/us immediately on my/our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures 4 / 11	X		

5

===== (Please Tear Here) =====

**Acknowledgement Receipt**

Received OPTION FORM FOR ISSUE/NON ISSUE OF DIS BOOKLET from:

DP ID	1	2	0	3	6	2	0	0	Client ID										
	First/Sole Holder			Second Joint Holder			Third Joint Holder												
Name																			

**INANI SECURITIES LIMITED Seal and Signature**

**ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT**

**FOR OFFICE USE ONLY**

DP Internal Reference No.

Application No. :

Date (dd/mm/yyyy):

DP ID 1 2 0 3 6 2 0 0 Client ID

**(To be filled by the applicant in BLOCK LETTERS in English)**

I/We request you to open a demat account in my/our name as per following details:

**HOLDERS DETAILS** (For each holder please fill separate KRA-KYC form **OR** attach acknowledgement copy of KRA-KYC form)

Sole / First Holder's	Name:	DOB	d	d	m	m	y	y	y	y
	PAN	UID								
Second Holder's	Name:	DOB	d	d	m	m	y	y	y	y
	PAN	UID								
Third Holder's	Name:	DOB	d	d	m	m	y	y	y	y
	PAN	UID								

Name \*:

*\*In case of Firms, Association of Persons(AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.*

**TYPE OF ACCOUNT** (Please tick whichever is applicable)

Status	Sub – Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Individual Margin Trading A/C-(MANTRA) <input type="checkbox"/> Others (Specify).....
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI -Depository Receipts <input type="checkbox"/> Others (Specify) .....
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts <input type="checkbox"/> Others (Specify).....

**DETAILS OF GUARDIAN** (Only if Account Holder is a minor; Joint Holder is not allowed in Minor's Account).  
 [Please fill separate KRA-KYC form **OR** attach acknowledgement copy of KRA-KYC form]

Guardian's Name:	PAN:
Relationship with applicant:	UID:

----- (Please Tear Here) -----

**Acknowledgement Receipt**

**Application No.:**

**Date:**

We hereby acknowledge the receipt of the Account Opening Application Form:

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			

**INANI SECURITIES LIMITED Seal and Signature**

## OTHER DETAILS

I/We instruct the DP to receive each and every credit in my/ our account (If not marked, the default option would be 'Yes')	<input type="checkbox"/> Automatic Credit <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID .....	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
Do you wish to receive dividend/interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') <input type="checkbox"/> ECS is mandatory for locations notified by SEBI from time to time	<input type="checkbox"/> Yes <input type="checkbox"/> No

### Bank Details Dividend Bank Details

Bank/MICR Code												
IFSC code												
Account Number:												
Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others (specify).....											
Bank Name							Branch Name:					
Bank Branch Address	City:			State:			Country:			Pin Code:		

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)  
(ii) Photocopy of the Bank Statement having name and address of the BO  
(iii) Photocopy of the Passbook having name and address of the BO, (or)  
(iv) Letter from the Bank.
- In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

<b>SMS Alert Facility</b> Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91..... <input type="checkbox"/> Mandatory, if BO is giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).	<input type="checkbox"/> Yes <input type="checkbox"/> No								
Transactions Using Secured Texting Facility (TRUST). (Refer to Terms and Conditions Annexure - 2.6)	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same .	<input type="checkbox"/> Yes <input type="checkbox"/> No								
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST									
	<table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
<b>Easi</b>	To register for <i>easi</i> please visit CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> . <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.)	<input type="checkbox"/> Yes <input type="checkbox"/> No								

**NOMINATION DETAILS (Mandatory)**

Nomination Registration No.

Dated (dd/mm/yyyy):

I/We the sole holder/Joint holders/Guardian (in case of minor) hereby declare that:

 I/We do not wish to nominate any one for this demat account. I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

Full Name of Nominee

*Surname**First Name**Middle Name*

Address

City:

State:

Country:

Pin Code:

Telephone No.

Fax No:

E-mail ID

Mobile No:

PAN

UID:

Date of Birth

(dd/mm/yyyy):

Relationship with BO (If any):

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the sole holder /all joint holders, I/We appoint following person to act as Guardian:

Full Name of  
Guardian of Nominee*Surname**First Name**Middle Name*

Address

City:

State:

Country:

Pin Code:

Telephone No.

Fax No:

E-mail ID

Mobile No:

PAN

UID:

Relationship of Guardian with Nominee:

This nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.

Details of the witness: [**NOTE: Two witnesses shall attest signature(s)/thumb impression(s)**]

First Witness

Second Witness

Name

Address

Signature

**DECLARATION**

I/We confirm that I/we have received the copy of Rights and Obligations document as specified by SEBI Circular No. CIR/MIRSD/12/2013 dated December 04, 2013 and agrees to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/Particulars mentioned by me /us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

**Sole/ First Holder or  
Guardian (In case of Minor)****Second Joint Holder****Third Joint Holder**

Name

**Signatures 5 / 11***(Signatures should  
preferably be in black ink.)*

X

**Declaration (ONLY FOR NRI CLIENTS)**

(i) I/We hereby declare that I/we am/are Non Resident Indian/s and undertake to intimate you about the change of my/our NRI status from time to time. I/We also declare that all transactions done by me/us in my/our demat account are in compliance with FEMA Regulations and I/we shall abide by it in case of any change in future from time to time as per rules and regulations.

(ii) I/We further declare that whenever there is a change in my/our residential address I/we shall inform the same along with the proof of address to Depository Participant INANI SECURITIES LIMITED in writing.

**First/Sole Holder****Second Joint Holder****Third Joint Holder****Signatures 6/11**

X

## (B) VOLUNTARY GENERAL TERMS & CONDITIONS DOCUMENT

The client and/or associate are required to note that the below mentioned clauses are non-mandatory as per the Exchange(s)/SEBI requirements but are binding on them if they want to avail the services of "The Member" INANI SECURITIES LIMITED., (hereinafter referred to as 'ISL'). The client and/or associate may revoke any or all the clauses of this document by communicating in writing and in such an event ISL reserves a right to terminate some or all the services offered to them.

1. The client wishes to state that his **EMAIL ID** is ..... ISL shall send digitally signed bills/electronic contract notes (ECN)/margin statements, and other documents such as auto replies from the system of ISL, reports, letters, circulars, notices, statement of accounts for funds/securities, ledger statement/transaction statement/holding statement etc. to client on this e-mail id and shall be valid, legal and binding upon him. The client hereby explicitly agrees to receive and check all such mails regularly. The client also agrees that he will keep adequate space in his mailbox to receive all mails sent by ISL and non-receipt of bounce mail notification by ISL shall tantamount to delivery of electronic contract note to him. Any discrepancies/ changes therefore shall be duly brought to the notice of ISL. Non-receipt of any of these documents shall be intimated to ISL either through e-mail or in writing by the client.
2. The client wishes to state that his Mobile No. is ..... and the client agrees to accept all Research SMS and trade confirmation SMS send by ISL on this mobile no.

### **TRADING:**

3. ISL is requested to accept client's verbal instructions to place/modify/cancel the orders and not insist for the same in writing. The client will not require order slip(s) and trade slip(s) generated by the computer system pertaining to his order/transactions.
4. The client/associate is aware that ISL tape - records the conversation between the client/associate or the client's representative and ISL, either personally or over the telephone, and the client hereby specifically permits ISL to use such records as evidence, as and when required to resolve disputes in connection with the transaction.
5. The cancellation or modification of an order pursuant to the client's request is not guaranteed. The order will be cancelled or modified only if the client's request for cancellation or modification instruction is duly received and the order is successfully cancelled or modified before it is executed, Market orders are subject to immediate execution.
6. ISL and associate will not be responsible for partial execution or unexecution of orders placed by the client. All on-line Best-Market orders would not be presumed as executed unless confirmed as trade by the respective exchanges trading system. The client also agrees that all orders placed by him shall be treated as orders for that particular day only and the same will not be carried forward for the next day.
7. ISL and the client hereby agree that the procedure of sending the contract note, bills, ledgers, transaction statement, reports, letters, circulars, notices, statement of accounts for funds / securities etc. by post (ordinary or registered or speed or UPC), courier, hand delivery to the client is applicable only if so demanded by the client in writing to ISL and who have opted for ECN. Any queries related to the client's contract and / or confirmation or bill shall be brought to the notice of ISL within reasonable time.
8. While ISL would confirm the trades executed on client's account the same day evening, it would be client's duty to bring to the notice of ISL within reasonable time any discrepancy in ILS's trade, failing which it is confirmed that the client have accepted the trade/s in total.
9. Any delay that may occur in sending bills/contracts/payment of funds/deliveries of shares & securities by ISL, which may be due to unforeseen circumstances, is acceptable to the client.

### **PAYMENTS OF FUNDS AND SECURITIES:**

10. All debits/charges incurred by ISL due to bad/short/delayed deliveries made by the client or due to the client's transactions/deeds/actions shall be fully recovered by ISL from the client.
11. Client hereby authorizes ISL to transfer funds from one Segment/Exchange where he/she have a credit balance to another Segment/Exchange having a debit balance, without any specific instruction in this respect, to save on banking and/or operational delays and cost towards transfer of funds.
12. For the purpose of this agreement the term "Dues of Client" shall include the amount of monies payable by the Client including, but not limited to, the purchase price of the securities, Brokerage, Margin money, Service Tax, Turnover Tax, Auction Debit and Charges, Services Charges, other statutory levies as applicable and other amount agreed to and payable by the client to ISL. The client further authorizes ISL to directly debit his account with any charges incurred or defined by ISL & other out of pocket and service related charges with respect to client's trading accounts including those incidental to the margin accounts maintained by ISL on client's behalf.
13. The client/associate hereby confirms to make payments for purchases and clear all debits before pay-in. ISL is authorized not to release securities purchased by the client unless the funds obligations have been paid in full. In case ISL does not receive funds before due date (i.e. pay-in date) they will have all rights to sell the securities without any further intimation to client/associate. The cost and consequences of such action shall be entirely client's responsibility and shall be without any prejudice to ISL right to take any other action to recover the dues.
14. The associate hereby explicitly agrees that the liability and responsibility of the client introduced at ISL, shall be co-extensive with the associate inter-alia, if any such client so introduced defaults on fulfilling his/her obligation to ISL, then the said balance amounts shall become payable by the associate and ISL is within its rights to recover such amounts, so due, from the associate.

**MARGINS:**

- 15. The client shall deposit with ISL money's/securities or other property, which may be required to open and/or maintain his account so long as the client desires to avail of the services provided by ISL. All moneys, securities, or other properties, which ISL may hold on client's account, shall be held subject to general lien for the discharge of Clients obligations to member under this agreement.
- 16. The client's funds/shares lying in the margin account may be used by ISL for the purpose of placing same as margin with the exchange and/or Clearing Member, in any form ISL may deem fit.
- 17. The amount of security margin deposited with ISL will always be interest free and ISL shall have the right to adjust the same against any outstanding dues in client's account or any such associated account operated by the client with ISL. In the event of closure of account with ISL, the balance of securities, deposit amounts (if any) shall be refunded only after all the transactions in client's accounts across segments are fully settled and/or intimation of closure in writing, whichever is later.

**GENERAL:**

- 18. The client and associate, individually and jointly shall indemnify and shall always keep indemnified ISL harmless from and against all claims, demand, actions, proceeding, loss, damages, liabilities, charges and /or may be occasioned to ISL directly or indirectly, owing to bad delivery (as defined by the exchange rules/regulations/byelaws) of shares/securities and/or as a result of fake/forged/stolen shares/securities/transfer documents that are introduced or that may be introduced by or through the client during the course of its dealing/operation on the Stock Exchange. The client hereby agrees to indemnify ISL and associate against all claims including legal costs incurred to defend any claims made by the client. The associate hereby agrees to indemnify ISL against all claims.
- 19. The client shall always keep himself abreast of all requirements to be complied by him under various laws including the Foreign Exchange Management Act (FEMA), 1999 & SEBI Act, 1992 wherever applicable and the rules, regulations, directions, circulars, notifications or guidelines issued under or pursuant to the relevant laws.
- 20. The client agrees that it will not indulge in manipulative trades or indulge in any sort of activity related to the trading in securities, which are prohibited under law.
- 21. The client agrees to furnish such details including details as regards financial position, assets, liabilities etc. every year and as and when required to do so by ISL or associate.
- 22. Client wishes to inform that with reference to his/her dealings with ISL as constituent, he/she hereby authorizes  
 1)..... 2).....  
 to place order and to collect & acknowledge various documents such as contracts, bills, ledger statements, cheques or any other documents on my/our behalf and the same will be binding on me/us.
- 23. Corporate benefits/actions like Rights issued/Open offers etc. where shareholders are required to apply to the company, it is client's responsibility to track announcements of such corporate benefits and apply to the company, if clients so desires.
- 24. ISL and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month. Notwithstanding any such termination, all rights, liabilities and obligations of the partie arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in/be binding on the respective parties or his/its respective heirs, executors, administrators, legal representatives or successors, as the case may be. The Client is aware and accepts that in view of the nature of the transactions and dealings involved in providing the Service it may not be possible for ISL to give advance notice of such termination or suspension to the Client.
- 25. In the event of any provisions of this Agreement being held to be or becoming invalid, unenforceable or illegal for any reason, this Agreement shall remain otherwise in full force apart from the said provision which will be deemed deleted. The parties shall however attempt to replace the deleted provision with a legally valid provision that reflects the same purpose as the deleted provision to the greatest extent possible.
- 26. No forbearance, relaxation or inaction by any party at any time to require the performance of any provision of this Agreement shall in any way affect, diminish, or prejudice the right of such party to require the performance of that or any other provision of this Agreement or be considered to be a waiver of any right unless specifically agreed in writing.
- 27. Any claim, dispute or difference arising between the Parties hereto in respect of this Agreement or any contracts, dealings, transactions or any rights, obligations, terms or conditions as contained in this Agreement or the interpretation or construction of this Agreement shall be subject to the grievance redressal procedure of the Exchange and shall be subject to the arbitration procedure as prescribed by the Exchange Provisions only.
- 28. Any notice, information or other communication to be given by the client/associate to ISL in connection with this Agreement shall be addressed to the Compliance Officer.

I/We hereby reconfirm and accept all the terms and conditions mentioned hereinabove. **Date:** .....

	<b>First/Sole Holder</b>	<b>Second Joint Holder</b>	<b>Third Joint Holder</b>
Name			
<b>Signatures</b> 7/11	X		

**POWER OF ATTORNEY**

This Power of attorney is executed on this.....day of..... X 8/11

between:

Mr./Mrs./M/s.....

S/o/D/o/W/o.....

Residing at/having Registered Office at.....

X9/11

.....of the One Part,

**AND**

M/s. Inani Securities Ltd. , a Company duly incorporated under the Companies Act,1956 and having its Registered Office at G-15, Raghava Ratna Towers, Chirag Ali Lane, Hyderabad – 500001 as the **Second Part,**

**RECITALS**

WHERE AS INANI SECURITIES LTD. Is providing various securities related services which inter alia includes but not limited to transaction in connection with purchase or sale of securities scripts, stocks etc. Whereas INANI SECURITIES LTD. Is a member of Bombay Stock Exchange Ltd, bearing SEBI registration no. INB **10857135**, member of National Stock Exchange of India Limited bearing SEBI registration no INB/INF/INE **230857131**.

WHEREAS I/We hold a Beneficiary Account, bearing Client\_\_\_\_\_, BO ID. **12036200**\_\_\_\_\_with Inani Securities Ltd., a Depository Participant with CDSL DP ID-036200 (herein after referred to as "INANI SECURITIES LTD. (**SEBI REGN No.IN-DP-CDSL-269-2004**) (DP)" )in order to avail the various services being offered by INANI SECURITIES LTD.,

NOW THESE PRESENTS WITNESSES THAT I/We do hereby jointly and severally nominate, constitute and appoint M/s INANI SECURITIES LTD., acting through their respective Director(s),Employees or such other person as may be authorized by them by way of Resolution, whether passed in a meeting of the Board of Directors or any Committee formed by the Board, for the aforesaid and various other purposes, as my/our true and lawful attorney to do, execute and perform severally the following acts, deeds matter sand things:

1. To operate the specified aforementioned Beneficiary Account and issue instructions relating to demat of securities and to sign and execute delivery instruction slip to effect transfer of shares / securities from my/ Our beneficiary account, to execute receipt instructions, pledge instructions, pledge closure instructions and all such other instructions that may be required to facilitate the transactions undertaken by me/us pursuant to the Member Client Agreement.
2. To instruct the INANI SECURITIES LTD. (DP) to debit securities and or to transfer securities from the aforementioned Beneficiary Account to the Pool Account of INANI SECURITIES LTD. As mentioned herein below for the purpose of delivering the same to the Clearing Corporation of aforesaid Stock Exchanges towards any segment in respect of the securities sold by me /us through them (including pay in/payout due to exercise of rights by INANI SECURITIES LTD. over securities considered as margin /collateral/security):

**X10/11**



**PoolAccounts**

	NSE		BSE	
	NSDL	CDSL	NSDL	CDSL
CM-BP-ID/	<b>IN556451</b>	<b>12036200</b>	<b>IN609417</b>	<b>12036200</b>
BO-ID	<b>20026798</b>	<b>1203620000000133</b>	<b>12064586</b>	<b>1203620000000186</b>

3. I/We want you to consider the securities in my/our demat account as margin/collateral/security for any and all dealings which as per your records /opinion are done/to be done /expected to be done through /with you. And to instruct the INANI SECURITIES LTD.(DP) to debit securities and /or to transfer securities from my aforementioned Beneficiary Account to the Margin Account(s) of the INANI SECURITIES LTD. as mentioned herein below and for Creating/maintaining collateral/security/margin inform of securities.

**Margin Accounts**

	<u>F&amp;O NSE</u>
DP-Id	<b>12036200</b>
DPName	<b>INANISECURITIESLTD</b>
CLIENTID	<b>12036200000005959</b>

4. To do all other acts deeds, things as may be required to ensure that all deliveries of securities arising out of transactions under-taken by me/us under the Agreement are duly and properly completed.

5. To do all such other acts or deeds which M/s INANI SECURITIES LTD. In its sole discretion considers necessary in this connection in order to complete the transaction (s).

6. Where by M/s INANI SECURITIES LTD. Undertakes to return the securities that may have been received by them erroneously or those securities that it was not entitled to receive.

7. Where by this Power of Attorney is revocable at any time, without notice to either party.

I DO HERE BY for my self ,my heirs, executors and administrators do here by agree to ratify, confirm and validate all and whatsoever my said Attorney shall do or purport to do or cause to be done by virtue of these presents.

This Power of Attorney shall be subject to the jurisdiction of the Courts in Hyderabad.

Signed, Sealed and Delivered by the within Named Beneficial Owner	For HUF (Name of Co-parceners)	Signature of Co- parceners
BO1 <b>X11/11</b> BO2	1.	
BO3	2.	
Place:	3.	
Date:	4.	
In presence of Witness Name, Address & Sign.)		
We Accept For Inani Securities Ltd.  ( Authorised Signatory)		

## OUR SERVICE

- ☞ EQUITIES
- ☞ DERIVATIVES
- ☞ CURRENCY DERIVATIVES
- ☞ ONLINE TRADING
- ☞ PORTFOLIO MANAGEMENT
- ☞ INSURANCE
- ☞ MUTUAL FUND
- ☞ IPOs
- ☞ DP SERVICES
- ☞ RESEARCH

### HYDERABAD →

#### Registered Office :

G-15, Raghava Ratna Towers  
Chirag Ali Lane, Abids HYD.-500 001  
Tel : +91 40 6661 1279  
Fax : +91 40 2320 2347  
Email : [info@inanisec.in](mailto:info@inanisec.in)

### MUMBAI →

#### Corporate Office :

B/1408, 14th Floor,  
Naman Midtown,  
Next To Indiabulls Finance Centre,  
Senapati Bapat Marg,  
Elphinstone, Mumbai - 400013.  
Tel : +022 4348 6000  
Fax : +022 4348 6011  
Email : [bby@inanisec.in](mailto:bby@inanisec.in)

### SEBI REGISTRATION NO.

NSE : INB 230857131 (Cash) INF 230857131 (F&O) INE 230857131 (Currency)

BSE : INB 010857135 (Cash)

DP CDSL : IN - DP - CDSL - 269 2004

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